FORM PTO-1390 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV. 11-2000)	ATTORNEY'S DOCKET NUMBER **MRKS/0140**
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371	U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR To Be Assigned **10/507970**

INTERNATIONAL APPLICATION NO. **PCT/EP03/50065**	INTERNATIONAL FILING DATE **17 March 2003 (17.03.2003)**	PRIORITY DATE CLAIMED **15 March 2002 (15.03.2002)**

TITLE OF INVENTION

TRACTORS FOR MOVEMENT ALONG A PIPELINE WITHIN A FLUID FLOW

APPLICANT(S) FOR DO/EO/US

Neil Andrew Abercrombie SIMPSON, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.

2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.

3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.

4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).

5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
    a. ☐ is attached hereto (required only if not communicated by the International Bureau).
    b. ☒ has been communicated by the International Bureau.
    c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).

6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
    a. ☐ is attached hereto.
    b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).

7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
    a. ☐ are attached hereto (required only if not communicated by the International Bureau).
    b. ☐ have been communicated by the International Bureau.
    c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    d. ☒ have not been made and will not be made.

8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).

10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).

12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

15. ☒ A **FIRST** preliminary amendment.

16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.

17. ☐ A substitute specification.

18. ☐ A change of power of attorney and/or address letter.

19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.

20. ☒ A second copy of the published international application under 35 U.S.C. 154(d)(4).

21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

22. ☒ Express Mail Label No. <u>EV335473168US</u>

23. ☒ Other items or information:

    Combined Declaration and Power of Attorney; Recordal Form; PTO Form 1449; Copies of Cited Art; and Return Postcard.

PCTUS1/REV03

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR To Be Assigned	INTERNATIONAL APPLICATION NO. PCT/EP03/50065	ATTORNEY'S DOCKET NUMBER MRKS/0140

24.   The following fees are submitted:.

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

	CALCULATIONS PTO USE ONLY

☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO **$1080.00**

☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO **$920.00**

☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO **$770.00**

☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) **$730.00**

☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) **$100.00**

ENTER APPROPRIATE BASIC FEE AMOUNT = | **$920.00** |

Surcharge of **$130.00** for furnishing the oath or declaration later than ☐ 20   ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)). | **$0.00** |

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	29 - 20 =	9	x $18.00	**$162.00**	
Independent claims	3 - 3 =	0	x $86.00	**$0.00**	
Multiple Dependent Claims (check if applicable).			☐	**$0.00**	

TOTAL OF ABOVE CALCULATIONS   = | **$1,082.00** |

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | **$0.00** |

SUBTOTAL = | **$1,082.00** |

Processing fee of **$130.00** for furnishing the English translation later than ☐ 20   ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). + | **$0.00** |

TOTAL NATIONAL FEE   = | **$1,082.00** |

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☒ | **$40.00** |

TOTAL FEES ENCLOSED   = | **$1,122.00** |

	Amount to be: refunded	$
	charged	$

a. ☐ A check in the amount of _____ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. **20-0782/mrks140** in the amount of _____ **$1,122.00** _____ to cover the above fees.
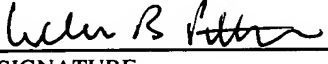
c. ☒ The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **20-0782/mrks140**

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

William B. Patterson
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Facsimile: 713-623-4846

SIGNATURE

William B. Patterson

NAME

34,102

REGISTRATION NUMBER

September 15, 2004

DATE